Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-OCT-21**

of M/s. H. M. Idrees H. Adam Private Ltd.

Submission Date 03-NOV-2021 10:37:25

			Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	77,750,163	77,750,163	
	Intangible Assets	5,066,940	5,066,940	
	Investment in Govt. Securities Investment in Debt. Securities	0	0	
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	 ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. 	0	0	
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.	0	0	
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
	Investment in Equity Securities	000.050.000	45,440,070	057 540 700
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	302,953,802	45,443,070	257,510,732
	ii. If unlisted, 100% of carrying value.	50,890,292	50,890,292	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.	0	0	
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	0	0	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries	0	0	
	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities	0	0	
	whichever is higher.	0	-	
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	0 4,020,000	0 4.020.000	
	or any other entity.	4,020,000	4,020,000	
	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	0	0	
1.11	Other deposits and prepayments	33,649,760	33,649,760	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)	0	0	
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	0	0	
	Dividends receivables. Amounts receivable against Repo financing.	0	0	
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
	ii. Receivables other than trade receivables	0	0	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.	0	0	
1 17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	0	0	
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the	342,095	342,095	342,095
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)		,	
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.	0	0	
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as	0	0	
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	0	0	
	iv. Balance sheet value	-	-	
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	45,856,566	423,059	423,059
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	0	0	
1.18	Cash and Bank balances		0	
	i. Bank Balance-proprietory accounts	22,772,962	0	22,772,962
	ii. Bank balance-customer accounts	2,807,569	0	2,807,569

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-OCT-21**

Of M/S. H. M. Idrees H. Adam Private Ltd.

Submission Date 03-NOV-2021 10:37:25

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3 Ranking Liabilities Relating to :		ii. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
3 Ranking Liabilities Relating to :	2.5	Total Liabilitas	4 403 034	0	4 403 034
3.1 Concentration in Margin Financing			+,+00,00+	0	1,100,001
In a amount calculated client-to- client basis by which any amount receivable from any of the financese sexceed 10% of the aggregate of amounts receivable from total financess. 0 0 3.2 Concentration in securities lending and borrowing 0 0 The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 0 0 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments 0 0 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exsceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exsceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exsceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exsceed the total liabilities of the subsidiary (excluding any amount due fro					
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3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 0 0 3.6 Amount Payable under REPO 0 0 0			0	0	
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		assets denominated in foreign cuurency less total liabilities denominated in foreign currency	-	-	
3.7 Kepo adjustment			0	0	
	3.1	repo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-OCT-21**

Of M/S. H. M. Idrees H. Adam Private Ltd.

Submission Date 03-NOV-2021 10:37:25

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	0	0	
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	7,195,143	7,195,143
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts	0	0	
3.10	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met Short selll positions	0	0	
5.10	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	0	7,195,143	7,195,143
3.12	Liquid Capital	541,775,869	210,390,236	272,326,994