Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-19**

of M/s. H. M. Idrees H. Adam Private Ltd.

Submission Date 06-JAN-2020 15:15:45

			Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	13,659,403	13,659,403	
1.2	Intangible Assets Investment in Govt. Securities	5,066,940 87,295,770	5,066,940 87,295,770	87,295,770
1.3	Investment in Debt. Securities	01,295,110	07,293,770	01,293,110
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	 ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. 			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	62,410,688	9,361,603	53,049,085
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.	43,091,363	43,091,363	
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money		, ,	
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	4,010,000	4,010,000	
	or any other entity.	.,	.,	
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.			
1.10	Other deposits and prepayments	3,038,585	3,038,585	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
1.16	ii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	435,670	410,855	410,855
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances			
1.10	i. Bank Balance-proprietory accounts	33,631,468	0	33,631,468
	ii. Bank balance-customer accounts	2,971,085	0	2,971,085
	iii. Cash in hand	223,208	0	223,208

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-19**

Of M/s. H. M. Idrees H. Adam Private Ltd.

Submission Date 06-JAN-2020 15:15:45

BX0: Total of Account. Yalue In Pak Rupe Table Cut/ Adjustmental Net Adjustmental Value 117 Icolal Access. 266.054.100 196.034.510 977.034.67 2 Hood provides Represent agents howsgend media provides Represent agents howsgend media provides Represent agents howsgend media Represent howsgend media Represent Represent Represent Represent Represent Represent Represent Represent Represent Represent Represent Repr		Submission Date 00-JAN-2020 13.13.45		Page	2 Of 3
1.19 Total Assets 255.84,160 165,93,519 177,89,471 2 Uabilities 1 174,89,2058 1 174,89,2058 1 Total Assets 2,334,456 0 2,834,456 2 Current Liabilities 1 406,844 0 1,406,844 1 Statut ment base for particular distance for the second status of t	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
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21 Index Expandios	1.19	Total Assets	255,834,180	165,934,519	177,581,471
L Prysble V concentration and closume framework 2.004.05 22 Control Liphings 2.004.05 23 Control Liphings 2.004.05 24 Control Liphings 0 25 Control Liphings 0 26 Control Liphings 0 27 Control Liphings 0 28 Control Liphings 0 29 Control Liphings 0 20 Control Liphings 0 20 Control Liphings 0 20 Control Liphings 0 21 Non-Current Liphings 0 23 Non-Current Liphings 0 24 Control Liphings 0 25 Non-Current Liphings 0 26 Control Liphings 0 27 Non-Current Liphings 0 28 Non-Current Liphings 0 29 Non-Current Liphings 0 20 Control Liphings 0 20 Diphings	2	Liabilities			
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b. Current portion of subordinated barra			1,496,844	0	1,496,844
v. Cameri perior of long term liabilities v. Defend Liabilities vii. Providen of the did dett vii			0	0	
will Berkened Linklinies					
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iz. Other liabilities as per accounting principles and included in the financial statements					
2.3 Non-Current liabilities					
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a. Long-term financing obtained from financial institution Long term portion of financing obtained from a financial institution including amount due against finance lease	2.3				
a financial institution including amount due against finance lease					
b. Other long-term financing		a. Long- term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease			
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d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.					
relating to the increase in paid up capital have been completed.					
e. Auditor is satisfied that such advance is against the increase of capital.					
iv. Other liabilities as per accounting principles and included in the financial statements					
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3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments (c) (b) in any other case : 12.5% of the net underwriting commitments (c) (b) in any other case : 12.5% of the net underwriting commitments (c) (d) the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency assets denominated in foreign currency. less total liabilities denominated in foreign currency					
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		5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
A Amount Davable under DEDO	27				
3.6 Amount Payable under REPO 3.7 Repo adjustment			1		
	3.7	Repo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-DEC-19**

Of M/s. H. M. Idrees H. Adam Private Ltd.

Submission Date 06-JAN-2020 15:15:45

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	1,000,243	1,000,243
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	1,000,243	1,000,243
3.12	Liquid Capital	251,402,880	164,934,276	172,149,928